

Customer Type

☐ Staff

☐ Non-Staff

Sub Distributor: _____

This Form should not be circulated unless accompanied by the relevant Disclosure Document(s). Investor should read and understand the contents of the relevant Disclosure Document(s), Terms and Conditions, Declarations before completing this Form as you are bound by them. Please complete in BLOCK LETTERS only, and mark (X) where applicable.

PARTICULARS OF INDIVIDUAL APPLICANT / CORPORATE APPLICANT

You MUST be 18 years and above as at the date of this application. Please provide a copy of your NRIC or Passport.

Name as in NRIC/Passport/Certificate of Incorporation _____

NRIC (old)/Passport/Company/Registration No. _____ NRIC No (new) _____ - _____ - _____

CORRESPONDENCE DETAILS IF DIFFER FROM "ACCOUNT OPENING APPLICATION FORM"

Address _____

Postcode _____ Town / City _____

State _____ Country _____

Tel No _____ - _____ (house) _____ - _____ (mobile)

_____ - _____ (office) ext. _____ Fax No. _____ - _____

PARTICULARS OF CONTACT (CORPORATE APPLICANT)

Contact Person (1)

Designation _____

Department _____

Tel No _____ - _____ (office) ext. _____ Fax No. _____ - _____

Office Email Address _____

Contact Person (2)

Designation _____

Department _____

Tel No _____ - _____ (office) ext. _____ Fax No. _____ - _____

Office Email Address _____

PRIVATE PENSION ADMINISTRATOR (PPA) ACCOUNT DETAILS (for existing PPA account members')

PPA Account No. _____

TERMS AND CONDITIONS

You must read and understand the relevant Disclosure Document(s), Product Highlights Sheet(s) and any information produced by the PRS Providers of the PRS Fund(s)/Scheme(s) which shall be made available upon request before investing in the Fund(s).

1. MINIMUM INVESTMENT

- Initial and subsequent investments must be for a minimum amount stated in the Fund's Disclosure Document(s), Product Highlights Sheet(s) based on the Fund's closing Net Asset Value/Unit on the day.

2. INDIVIDUAL APPLICANT

- Applicant must be 18 years old and above.
- Please enclose a photocopy of your identity card or passport.

3. CORPORATE APPLICANT

- Please enclose a photocopy of the Memorandum & Articles of Association, Company's latest audited accounts, List of Authorised Signatories and Specimen Signatures.
- For a corporation, the Common Seal or the Company Stamp will have to be affixed. If the Company Seal is used, an Authorised Officer must sign and state his/her representative capacity.
- Certified True Copy (by Company Secretary, if applicable) of the Board Resolution, Form 9, Form 11, Form 13 (if applicable), Form 24, Form 44, Form 49 and latest Annual Return.

4. RIGHTS OF KIBB

- Kenanga Investment Bank Berhad ("KIBB") reserves the right to reject any applications without giving any reasons or reject any Fund Application Form which is not completed in full and supported by the requested documents and payments.
- The Applicants's personal data and information shall also be governed by the terms and conditions set out in KIBB's Privacy Policy, as may be amended or supplemented from time to time. For the most current version of the Privacy Policy at all material times, please refer to the posting on KIBB's corporate website or <http://www.kenanga.com.my>. The Applicant is advised to obtain a copy of the Privacy Policy from the servicing consultant or website and read and understand the Privacy Policy before submitting the applications to KIBB.

5. CLIENT SERVICES

- If you require further information or clarification, please contact our Client Service Helpline No. 603 - 2172 3157

6. NOTICES AND COMMUNICATIONS

- All notices and other communications sent by or to the applicant shall be sent at the risk of the applicant unless due to willful default or negligence of KIBB. KIBB shall not be responsible for any inaccuracy, interruption, error delay or failure in transmission or delivery of any notices via whatever means, or for any equipment failure or malfunction. KIBB shall not be liable for any direct or indirect consequential losses arising from the foregoing. Notices delivered via electronic communications to applicant are deemed sent and received on the date communication is sent.

DECLARATIONS AND SIGNATURES

INDIVIDUAL APPLICANT

- I am 18 years and above as at the date of this application. Copy/Copies of my NRIC/passport is enclosed.
- I declare that as at the date hereof, I am not an undischarged bankrupt nor has any petition for bankruptcy been filed against me.

CORPORATE APPLICANT

- I am/We are duly authorised officer(s) of the Corporation, and warrant that the Corporation has the power and capacity to enter into this agreement and undertake transactions involving the Fund(s). Attached is a certified true copy of the Corporation's list of authorised signatories.
- I/We, as director(s) of the Corporation do hereby declare that the Corporation is a legally incorporated Corporation. Copy of my/our certificate of incorporation is enclosed.
- I/We the abovenamed authorised signatories and representatives for the Company, do declare and represent that as at the date hereof, no petition for winding-up has been filed against the Company nor any receiver has been appointed over any of its assets.

DECLARATIONS BY INDIVIDUAL AND CORPORATE APPLICANTS

- I/We am aware of the fee(s) and charge(s) that will occurred by me/us directly or indirectly when contributing in the PRS Fund(s)/Scheme(s) as provided in the Disclosure Document(s).
- I/We have been informed and hereby fully understand the associated product risk and returns, and the PRS Fund(s)/Scheme(s) selected may be of a higher risk than my/our profiled risk taking ability. I/We have decided to contribute to the PRS Fund(s)/Scheme(s) selected on this date at my/our discretion.
- I/We acknowledge that I/We shall keep KIBB informed of any change of the information stated in this Account Application Form and/or of any material facts that will directly or indirectly, affect my/the Corporation and its group of companies' financial position, condition, operation, business or prospect.
- I/We agree that my information and/or documents relating to me/us may be made available, including all changes and updates to such information as and when provided by me/us without limitation to KIBB's employees, third party service providers, consultants, advisors, custodian/sub-custodian's agent's or advisors, all the companies within the K&N Kenanga Holdings Berhad group of companies, Bursa Malaysia Securities Berhad, Bursa Derivatives, Bursa Depository, Bursa Clearing, the Securities Commission, foreign exchanges and/or all relevant and applicable authorities/regulators.
- I/We hereby give consent to the PRS Provider to disclose my/our account information to KIBB and my/our servicing PRS Consultant(s) for the purpose of providing ongoing services.
- I/We hereby authorise KIBB to disclose and share all information in my/our PRS Scheme Account to my/our employer who have made contribution(s) for me/us.
- I/We shall not hold KIBB, its subsidiaries or affiliates, or any of their respective directors, officers, employees or consultants responsible or liable for disclosure of such information referred to above howsoever arising including but not limited to by reason of any misstatement, error, negligence, omission, delay or any matter in connection thereto.
- KIBB and its consultants shall disclaim all liabilities, and neither KIBB nor any of its consultants shall be liable for any direct, indirect, special or consequential damages which may be suffered by me/us, such as, but not limited to, loss of anticipated profits or other anticipated economic benefits, whatsoever or howsoever caused, whether in contract or in tort (which includes but is not limited to negligence), arising directly or indirectly in connection with any statements or information in the Disclosure Document(s), Product Highlights Sheet(s) and any information produced by the PRS Providers of the PRS Fund(s)/Scheme(s).
- I/We hereby agree and irrevocably undertake to fully and completely indemnify KIBB, at all times and against all claims, demands, actions, suits, proceedings, losses, penalties, costs and expenses, whether arising directly or indirectly, which may be made or taken against KIBB or incurred or suffered by KIBB in connection with or in any manner out of KIBB acting or on my/our instruction(s) or consent(s) as stated above and any inaccuracy(ies) of the instruction(s) provided and declarations herein.

DECLARATIONS BY INDIVIDUAL AND CORPORATE APPLICANTS (continued)

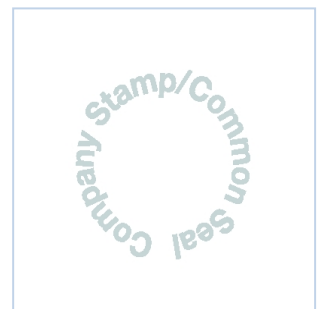
- I/We acknowledge that the PRS consultant servicing me/us has a VALID authorisation and registration card with the Federation of Investment Managers Malaysia (FiMM).
- I/We declare that I am/the Corporation is in compliance and undertake that I/We will comply with all applicable laws and regulations.
- I/We further declare that I am/the Corporation is neither engaged in any unlawful activity nor are my/the Corporation's monies obtained from any illegal source or related to any illegal activity.
- I hereby declare and acknowledge that I have sole legal and proprietary right over all monies for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering and Anti-Terrorism Financing Act 2001 ("AMLA").
- I/We undertake to provide such information and documents as KIBB may reasonably require for the purpose of due diligence/enhanced due diligence as required under the AMLA.
- I/We hereby acknowledge that KIBB has the right to reject an application at its absolute discretion without any reasons.

APPLICANT'S ACKNOWLEDGEMENT AND SIGNATURE

I/We acknowledge that I/we have received, read and understood the relevant Disclosure Document(s), Deed(s), Supplemental Deed(s), Product Highlight Sheet(s) (if any) for the PRS Fund(s)/Scheme(s) to be invested in, the Terms and Conditions of this Form, the KIBB's Common Terms and Conditions and Privacy Policy and terms of any facility offered by KIBB on becoming a PRS Member and I/we undertake to be bound by them. In any case, I/We understand that an updated version of the KIBB's Common Terms and Conditions and Privacy Policy and terms of any facility is made available on KIBB's corporate website or <http://www.kenanga.com.my>.

Individual Applicant/Authorised Signatory(ies)
Name
Date

Authorised Signatory(ies)
Name
Date

**FOR PRSC's USE ONLY****DECLARATION BY PRS CONSULTANT**

- I agree to abide by all the relevant policies of Kenanga Investment Bank Berhad (KIBB) and applicable laws including amendments as may be in force from time to time.
- I hereby confirm that I have sighted the original personal identity documents (i.e. either NRIC or passport) of the Applicant and I have completed the verification of the identity of the Applicant and all details provided in this application correspond with my records, and agree to make such relevant documentation available to KIBB on request.
- I hereby confirm that I witnessed the signature of the Applicant and I confirm that the particulars of the Applicant stated herein to be true and correct.

Note:

You are required to verify the identities and address of all parties and have adequate records to demonstrate that fact under the local Anti-Money Laundering and Anti-Terrorism Financing Laws, Guidelines and/or Regulations. You should ensure that the names and address of the Applicant are accurate and complete and in accordance with the relevant records.

Branch Code _____ Name / Stamp of PRSC _____ Code of PRSC _____ Date _____

FOR OFFICE USE ONLY

Processed By & Date _____ Checked By & Date _____